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C. J. Wilson  
6/2/01

**PATENT**

Atty. Docket No. 728-208 (YOR9-2001-0270 US1)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**GROUP ART UNIT** : Unassigned

**EXAMINER** : Unassigned

**APPLICANT(S)** : LOUIS L. HSU; RAJIV V. JOSHI and  
FARIBORZ ASSADERAGHI

**SERIAL NO.** : Unassigned

**FILED** : June 13, 2001

**FOR** : T-RAM CELL HAVING A BURIED VERTICAL  
THYRISTOR AND A PSEUDO-TFT TRANSFER  
GATE AND METHOD FOR FABRICATING THE SAME

Dated: June 13, 2001

**BOX PATENT APPLICATION**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to Applicants' duty of disclosure, the information listed in the  
attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed  
items are enclosed.

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being  
deposited with the United States Postal Service on this date June 13, 2001 in an envelope as "Express Mail Post  
Office to Addressee" Mail Label Number EL 814600602 US addressed to: Assistant Commissioner for Patents, Box  
Patent Application, Washington, D.C. 20231.

George Likourezos

(Type or print name of person mailing paper)

*George Likourezos*  
(Signature of person mailing paper)

JC971 U.S. PTO  
09/880223  
06/13/01

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art.

The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

☒ This information disclosure statement is being filed within three (3) months of the filing date of this application.

☐ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.

☒ To the best of Applicants' knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

☐ Enclosed herewith is a certificate under 37 C.F.R. §1.97(e).

- ☐ Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(ii).
- ☐ Enclosed by check is the petition fee of \$130.00. 37 C.F.R. §1.17(i)(1))
- ☐ Please charge the \$130.00 petition fee to Deposit Account No. 50-0510.
- ☐ Enclosed by check is the \$240.00 fee required by 37 C.F.R. §1.17(p).
- ☐ Please charge the \$240.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 50-0510.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 50-0510. Also, in the event any extensions of time for responding and/or a petition under 37 C.F.R. §1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. 50-0510 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

DILWORTH & BARRESE

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